



INTERNATIONAL PUBLIC TENDER
Part 1

**CALL FOR INTEREST AND
PREQUALIFICATION OF BIDDERS**

DESIGN, CONSTRUCTION, MONITORING AND
MAINTENANCE OF PHOTOVOLTAIC PLANT + BESS
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1.- INTRODUCTION AND BACKGROUND.

a) **From the Principal**

Sociedad Agrícola y Servicios Isla de Pascua SpA, hereinafter also referred to as “the Principal”, “the Contractor”, or “SASIPA”, is a state-owned company in the special territory of Easter Island, which provides the services of generation and distribution of electric energy, production and distribution of drinking water, maritime loading and unloading, and administration of fiscal assets in the aforementioned island territory. Its sole shareholder is the Corporación de Fomento de la Producción, which is in turn an autonomous administration body of the State of Chile.

Based on the above, although SASIPA is a private legal entity and is governed by the rules of common use applicable to individuals, it belongs to a type of private entities used by the State of Chile and/or its agencies for the development of certain activities related to the fulfillment of their public functions.

Within the SASIPA Development Plan, the Design, Construction, Monitoring and Maintenance of a hybrid power plant (see paragraph 16) that generates electricity based on photovoltaic energy, hereinafter also referred to as “Photovoltaic Power Plant + Bess – Mataverí, Rapa Nui” or “The Project”, to promote the use of renewable energy in a territory, all or part of which is a UNESCO World Heritage Site, a National Historic Monument, an Indigenous Development Area, a National Park, and a Multiple Use Marine and Coastal Protected Area.

b) **From the Call for Interest Process**

This call for interest contemplates a process that will be divided into 2 stages, the first of which, which is carried out in this act, aims to make a call for interest and carry out a prequalification of the bidders who will be able to present their technical and economic offers during the second stage in which the Design, Construction, Monitoring and Maintenance of said Plant will be awarded, which will take place next and once the conditions indicated below have been met.

The services and works will be carried out in the special territory of Easter Island. Given its geographical and cultural characteristics, it is essential that the bidders consider, in addition to the present Bases of the Process, the legal and regulatory norms that govern Rapa Nui, especially the provisions of Law No. 16,441, which Creates the Department of Easter Island; Law No. 17,288, on National Monuments; Law No. 19,253, on Protection, Promotion and Development of Indigenous Peoples, and Creates the National Corporation for Indigenous Development; Law No. 19,300, on General Bases of the Environment and Law No. 21,070, which Regulates the Exercise of the Rights to Reside, Remain and Move To and From the Special Territory of Easter Island together with its complementary regulatory norms.

2.- GENERAL PURPOSE OF THE TENDER AND SOURCE OF FUNDS.

In order to transform the current generation system of Easter Island (99% diesel) into a hybrid photovoltaic-diesel system, SASIPA requires the development of the Design, Construction, Monitoring and Maintenance of a new Photovoltaic Power Plant located in the Mataverí sector of the Island, whose general characteristics are included in point No. 16 of this call for interest and which will be detailed during the second stage of the competitive process.



The purpose of this call for interest is to identify companies interested in participating in this energy conversion process on Easter Island and to prequalify bidders who meet the legal, financial and experience requirements required by SASIPA to enable them to participate in the second part of this competitive process aimed at carrying out the corresponding works.

For the cost of the Project, SASIPA has requested financing from the Inter-American Development Bank (IDB), so the final award of the second stage will only be made when these procedures have been concluded, which are estimated to take place during the second half of this year.

3.- GENERAL CONCEPTS THAT SHOULD BE KEPT IN MIND.

Bidders interested in participating in this process should especially keep in mind the following:

- a) The term **“in writing”** shall be construed as written communication with proof of reception;
- b) When the context requires it, words in the singular shall be understood as plural and vice versa;
- c) The word **“day”** means a calendar day;
- d) If a day on the schedule falls on a Saturday, Sunday or holiday, it will be understood automatically extended to the next business day; and,
- and) Unless otherwise indicated, any reference to **“hour”** or **“hours”** shall be refers to the time that governs continental Chile.
- f) The word **“Contractor”** refers to SASIPA

4.- PRACTICES CONTRARY TO THE LAW, CODE OF ETHICS AND GOOD PRACTICES OF SASIPA AND CONFIDENTIALITY.

SASIPA will require bidders participating in the Call for Interest to maintain the highest ethical standards, whether in the present process, during the bidding process and during the execution of the contract. The definitions of actions that constitute practices contrary to the Law or the Code of Conduct, Ethics and Good Practices, which are transcribed below, constitute the most common. The list of these is merely exemplary and not exhaustive. For this reason, SASIPA will act in the face of any incident similar or claim that is considered unlawful, as follows:

- a) **“Bribery”** consists of the act of offering or giving something of value in order to influence the actions or decisions of others, or receiving or requesting any benefit in exchange for the performance of actions or omissions related to the fulfillment of duties.
- b) **“Extortion” or “Coercion”** is the act or practice of obtaining something, forcing the performance of an action or influencing a decision by means of intimidation, threat or the use of force, with the potential or actual damage being able to fall on people, their reputation or their estate.
- c) **“Fraud”** means any act or omission that attempts to distort the truth in order to induce third parties to act assuming the veracity of what is stated, in order to obtain some unfair advantage or cause personal or property damage to a third party.
- d) **“Collusion”** is an agreement between two or more parties made with the intent to defraud or cause harm to a person or entity or obtain an illicit benefit or purpose.

If it is proven that a bidder, or whoever acts in his place, and/or the participant or successful bidder



proposed in an acquisition process, has engaged in practices contrary to the legislation or the standards contained in the Code of Ethics and Good Practices of SASIPA, the latter may proceed, depending on the stage in which it is found, as follows:

- a) Not prequalifying the bidder, or the Consortium or Association of which it is a part, to continue in the present bidding process
- b) Declare the proposal that is presented inadmissible;
- c) Terminate the contract, collect guarantees when there is evidence that the
The successful bidder, its agents, dependents or representatives have engaged in illegal practices, without the successful bidder having taken appropriate action to correct this situation within a reasonable period for SASIPA.

Without prejudice to the above, the supplier who is ultimately awarded the contract must be familiar with SASIPA's Code of Conduct, Ethics and Good Practices, which contains the company's Crime Prevention Policy and is attached as No. 2.

It is also noted that the Company, Consortium or Association that participates in this process of calling for interest or in any of its stages, will be subject to important restrictions, prohibitions and duties associated with any privileged information they may receive in connection with this project. Among which we highlight the following:

- Keep the information strictly confidential. Do not use
- the information you receive in this process or the bidding process for your own benefit or
unaffiliated,
- Do not use the information to obtain benefits or avoid losses through any means.
type of operation with the securities to which it refers or with instruments whose profitability
is determined for those values;
- Refrain from communicating such information to third parties;
- Refrain from recommending to others the acquisition or sale of the securities mentioned;
- Ensure that this does not occur through subordinates or trusted third parties.

Bidders who decide to participate from this stage in the bidding process shall act in good faith in , must act as response to any request for information made by SASIPA, as a result of investigations carried out by it, regarding the possible commission of crimes under Law No. 20,393 or violations of the **Code of Conduct, Ethics and Good Practices**, providing all information or background information in their possession that is relevant to the investigation of the facts that are the subject of the investigation.

During the second stage of bidding, the supplier(s) of the photovoltaic panels chosen by the interested party who is finally awarded the contract must certify that the labor practices used in their manufacture and assembly comply with the provisions of Conventions No. 138 on Minimum Age, No. 182 on the Worst Forms of Child Labor, No. 029 on Forced Labor and No. 105 on the Abolition of Forced Labor, all of the International Labor Organization (ILO).

5.- ELIGIBLE BIDDERS.

A Bidder may be a natural or legal person, government entity, subject to the provisions of these Bases.

Likewise, it may be any combination of them that have the formal intention of constituting a



new company domiciled in Chile, or establish an agreement or subject to an existing agreement, in the form of a joint venture, consortium or association, which will be called Unión Temporal de Suministros, hereinafter also "UTP", subject, where applicable, to the provisions of article 67 bis of Supreme Decree No. 250, of 20024, of the Ministry of Finance, Regulations of Law No. 19,886, on the Bases of Administrative Contracts for the Supply and Provision of Services. It is noted that companies in formation must be incorporated prior to the signing of the corresponding contract.

The parties that make up the UTP must be reciprocally and jointly liable for at least until the expiration of the contract and the underlying guarantees. In the case of the successful bidder who is a UTP, this responsibility must be formalized through a public instrument granted in accordance with Chilean legislation (Article 67 bis of Supreme Decree No. 250, of the Ministry of Finance, of 2004, Regulations of the Basic Law on Administrative Contracts for the Supply and Provision of Services).

Any bidder that is a foreign company or legal entity for profit, which is ultimately awarded the contract, must, prior to signing the corresponding contract, be established as an Agency in Chile, in accordance with the provisions of articles 447 and following of the Commercial Code.

A Bidder shall not have a conflict of interest or incompatibility with the Employer. Any Bidder who has a conflict of interest with one or more parties in this bidding process will be disqualified. The Bidder shall give priority to the interests of the Employer, without regard to future work, and shall avoid conflicts with other work or its own interests. Bidders shall not qualify for any work that conflicts with their prior or current obligations to other clients or that may place them in a position of not being able to perform in the best interests of the Employer.

A Bidder will be considered to have a conflict of interest with one or more of the parties participating in this bidding process, if one or more of the following situations described below occur:

copy:

- a) The majority of its share capital belongs directly or indirectly to the Contractor, its partners or shareholders;
- b) Their legal representatives are the same, or do they have some natural or legal person in common? who is part of its boards of directors or administrative councils, or when the decision-making majority in its assemblies or shareholders' meetings belongs directly or indirectly to the same natural or legal persons;
- c) maintain a relationship, either directly or through third parties, that allows them to obtain information about other offers, or influence them or the decisions of the Contracting Party, with respect to this tender process;
- d) have participated directly in any capacity in the preparation of the design, feasibility studies, terms of reference or technical specifications of the goods or services subject to of this bidding process;
- and) The natural person bidder who is a director (holds the position of assistant manager, manager or superior), director, president or administrator of the principal, or has held one of these positions in the last two years since the closing of this tender, or if he is or has been a partner or shareholder of the principal with a stake equal to or greater than 10% of the share capital for the same period;
- f) Relatives, natural persons up to the 4th degree of consanguinity or affinity of the persons listed in points ii, iii, iv and v above, their spouses or civil partners;
- g) Companies, businesses, corporations, foundations or businesses of any kind, national or foreign, including limited partnerships, limited liability, closed anonymous companies,



SpA or Individuals with limited liability in which the persons described in points ii, iii, iv, v and vi above have part of the property or participation or have more than 10% participation in the capital, in the case of open corporations or with stock market transaction.

An interested party/Bidder may not participate in this competitive process at any stage if:

- i. They or the Firm's technical staff have participated directly in any previous stage related to the object;
- ii. the work to be performed may conflict with current or previous obligations;
- iii. There are any other circumstances that may put them in a position of not being able to carry out carry out work for the benefit of SASIPA.

The circumstances of each case may be taken into account in order to determine whether There is a conflict of interest that justifies declaring the Offeror ineligible.

The bidders must not be affected by the disqualifications listed in the sixth paragraph of article 4 of Law No. 19,886, on the Bases for Administrative Contracts for the Supply and Provision of Services, proving it by submitting a simple sworn statement. Likewise, they must not be affected by the prohibition to enter into acts and contracts with State agencies, referred to in article 8, paragraph 2 and article 10, both of the first article of Law No. 20,393 that Establishes the Criminal Responsibility of Legal Entities in the Crimes Indicated; having to submit a simple sworn statement to prove it. Along with the other requested background information, the bidders must attach to their application a Certificate of Labor and Social Security Background issued by the Labor Directorate, no more than 15 (fifteen) days old from the date of opening of the proposal. Bidders must not appear in the Digital Registry of the Labor Directorate of Companies Convicted of Anti-Union or Unfair Practices, existing in accordance with the provisions of article 294 bis of the Labor Code. Bidders must not appear on payrolls corresponding to 4 semesters immediately prior to the date of this call for interest.

Government entities will only be eligible if they can demonstrate that they have legal personality, legal and financial autonomy, and that they operate in accordance with the principles of private law in their respective countries. By submitting their background, these persons

They renounce any statute, treaty, rule or right that allows them to escape their obligations and responsibilities, accepting the same treatment that any legal subject would receive. private, in accordance with Chilean constitutional and legal regulations.

Bidders shall show evidence, at the request of the Contractor and to the satisfaction of the latter, that remain eligible.



6.- CALL FOR INTEREST SCHEDULE.-

CALL FOR INTEREST AND PREQUALIFICATION SCHEDULE – PUBLIC BIDDING	
ACTIVITY	DATES
Publication of the Bases	04-Aug-2024
Deadline for sending questions	25-Aug-2024
Publication of answers to questions	06-September-2024
Maximum deadline for sending background information	September 22, 2024
Opening Folder with Background	September 24, 2024
Estimated date for prequalification of interested bidders	October 8, 2024

SASIPA may extend the dates indicated in the schedule indicated above at any time.

Any changes to the schedule will be reported on the website www.sasipa.cl _____

7.- VIRTUAL VIDEO VISIT

During this stage of the call for interest, an explanatory video of the logistical and terrain conditions of the project will be available on the web platform. To access this video, the link must be requested by emailing fotovoltaica@sasipa.cl with the subject "I request a link to the video." _____

8.- CALL FOR INTEREST DOCUMENTS, PREPARATION AND CONTENTS OF THE PROPOSAL.

The documents for this first stage of the tendering process are composed of these terms of reference and their annexes, together with the respective forms. The Questions and Answers Series and any addenda, modifications or clarifications to these Terms and Conditions will also form part of the process.

It is the responsibility of the interested party to examine all instructions, forms, terms and specifications contained in the Documents of this process. Failure to submit all required information or documentation may constitute grounds for not prequalifying the bidder.

Any interested party that requires any clarification or has any questions about the Documents of this stage of the Tender, may request it in writing to SASIPA on the date established for sending questions stipulated in the schedule of point 6 of these bases, by sending an email to llamadofotovoltaica@sasipa.cl, indicating in the subject: "Questions about call for interest bases." _____

All questions received and their corresponding answers will be edited as a "Series of Questions and Answers" and will be reported to all bidders through the company's institutional website (<https://www.sasipa.cl/licitaciones/>) the day indicated in the tender calendar. It will be the responsibility of each bidder to consult the website for information.

The deadline for questions may be extended. In such case, the deadline for answers to questions, as well as the other dates on the schedule, will be extended by the same number of days as the deadline for questions.

The Bidders must obtain by themselves and under their own responsibility all the information that may be



necessary for the preparation of their proposals.

SASIPA may amend the Call for Interest Documents by issuing modifications at any time before the deadline for submission of background information, which are notified through a publication on the SASIPA website, together with the tender documents. Any addenda issued will form part of the Tender Documents. It is the responsibility of interested parties participating in this first stage of the tender process to keep informed of all addenda issued during the Call for Interest process, and no claim to the contrary will be entertained.

Where addenda to the Documents of this Call have been issued and in order to give interested parties more time to prepare their background information, SASIPA may, at its discretion, extend the deadline for submitting the required background information.

Interested parties who submit their background information in this first stage of the bankruptcy process will vote all expenses related to the preparation and presentation of your background. SASIPA will not be shall not be liable in any event for such costs, regardless of the manner in which the transaction is carried out. tender or its result.

The background information presented by the interested parties, as well as all correspondence and documents related to Any documents exchanged with SASIPA must preferably be written in Spanish. If they are written in another language, a reliable translation into Spanish must also be included.

9.- DOCUMENTATION TO BE SUBMITTED

The documentation must be submitted through a **Digital Folder**, which will contain the background information required to evaluate the Classification criteria, such as: financial solvency, capacity to contract, general and specific experience, executed contracts, contracts in execution and existing commitments and litigation. The information must be submitted in the forms attached to these Bases (Qualification Forms), accompanied by the requested supporting documents.

The Digital Folder must include the following documents and their corresponding backups:

- 1) Qualification Form: Presentation
- 2) Qualification Form: ELE - 1.1
- 3) Qualification Form: CON -2.1
- 4) Qualification Form: FIN - 3.1
- 5) Experience in Building Hybrid Systems TEC 4.1
- 6) List of Experience in Operation and Maintenance TEC 4.2
- 7) Average annual turnover TEC 4.3
- 8) List of Specific Experience in Construction of Photovoltaic Projects TEC 4.4.

The Offeror will include in the Digital Folder his declaration of interest, name, address and contact email of the offeror.

Applicants must also submit the following additional digital files:

- Simple sworn statement of not being affected by the disqualifications listed in article 4, paragraph six of Law No. 19,886, as well as not being affected by the provisions of article 8, numeral 2 and article 10, both of the first article of Law No. 20,393 that Establishes the Criminal Responsibility of Legal Entities in the Crimes Indicated, which is attached to these Bases as Annex No. 3.



- Valid Single Tax Identification Card, on both sides of the offeror. In the case of a foreign legal entity, the digitalized documentation must come from the equivalent body in the country of origin of the company or organization, duly apostilled or legalized.
- National identity card, on both sides, of the legal representative of the legal entity, if applicable. In the case of a foreign natural person, the identity documentation must come from the equivalent body in the country of origin of the company or organization, duly apostilled or legalized.
- Public deed or equivalent, stating the legal status of the legal entity's representative, if applicable. In the case of a foreign legal entity, the digital documentation must come from the equivalent body in the company or organization's country of origin, duly apostilled or legalized.
- Registration in the Commercial Registry, or other competent registry body of the country of origin, valid and no more than 60 days old from the date of receipt of proposals. In the case of a foreign legal entity, the digital documentation must come from the equivalent body in the country of origin of the company or organization, duly apostilled or legalized.
- In the case of legal entities, members of UTP or companies in formation (national or foreign), the audited financial statements (IFRS) must also be included, corresponding to the last 2 years (2022 and 2023) in accordance with the details in form FIN 3.1
- In the case of companies established abroad, companies in formation or the Temporary Union of Suppliers, they must accompany a power of attorney granted to a natural person with address and residence in Chile to participate in this bidding process. In the case of companies in formation or the Temporary Union of Suppliers, the power of attorney must be granted by all its members and must remain in force throughout the bidding process and until the completion of the execution of the work, if they are awarded the contract, with the power to be notified on behalf of all its members.

Files included in the Digital Folders must, as appropriate, be accompanied in Spanish and in PDF, JPG, MS Office, Autocad or compatible formats.

10.- FORMAT AND CONDITIONS FOR PRESENTING BACKGROUND REPORTS.-

The Bidder must first follow the instructions found in Annex No. 1 of this Call for Interest Tender, to compress and upload to the cloud a Digital Folder containing the documents to be submitted.

All bidders must send an email to llamadofotovoltaica@sasipa.cl with the links to the compressed files they contain. The email must be sent by 4:00 p.m. (continental Chile time), 2:00 p.m. (inland Chile time) on the day indicated in the Calendar of this process shown in point No. 6.

SASIPA SpA. will acknowledge receipt of said email by the same means and will send the connection data for the virtual opening meeting.

Backgrounds must be uploaded to the cloud no later than the time and date specified in the Schedule. SASIPA will not consider any background that is uploaded to the cloud after the deadline date and time set for the submission of the same, in accordance with the schedule of this process. Any background received after the deadline time and date will not be considered in the prequalification process. However, SASIPA reserves the right to request additional or complementary background to those submitted if it deems it appropriate.



SASIPA may, at its sole discretion, extend the deadline for submitting the background information by issuing an addendum to the Documents of this Invitation to Bid. In this case, all rights and obligations of the interested parties that were subject to the original deadline will be subject to the new deadline for submission of their background information.

11.- OPENING OF THE RECORDS.

The opening ceremony of the first stage of the tender will take place at 6:00 p.m. (mainland Chile time), 4:00 p.m. (inland Chile time) on the day set for the opening of the Folders, according to the Calendar in point No. 6 of these rules, through a virtual meeting, the meeting link of which will be sent in advance to those who have expressed their interest in participating by sending their background information. Access to the meeting will be from 10 minutes before the time set for the opening.

After the corresponding presentations, the passwords for the compressed files corresponding to the Digital Folder will be requested out loud, and they will be opened.

The bidders will be checked to see if they have included all the documents required by the Terms and Conditions. If this Digital Folder does not contain the required documentation, this fact will be noted in the minutes, as well as any missing or incomplete information, with the company reserving the right to request the missing information, ask for additions or not consider the submitted expression of interest.

Once these procedures have been completed, the Act will be considered concluded.

Based on this information, the prequalification of the bidders who have expressed interest in participating will be carried out within the time periods indicated in the Bases.

Once the prequalification is completed, the results will be notified and the date on which it will take place will be announced. the second stage of this competitive process leading to the award of the works to one of the prequalified bidders.

During the first stage of this bidding process, it should be noted that, whenever the expression "Envelope" is used to refer to the presentation of background information, it should be understood that this refers to the Digital Folder described above.

SASIPA will prepare a record of the opening of the Digital Folders, which must include, as a minimum: the name of the Interested Party; and a description of the content of the digital folders opened at said ceremony.

The absence or omission of signature by an Interested Party will not prevent further progress in the bidding process, nor the content and effect of the minutes, but to do so the key to access the folder must be sent by email.

Interested parties will have the opportunity to review the opening minutes that will be published on the website www.sasipa.cl. This may occur after the opening of the digital files, as long as the information in the digitalized minutes is backed up and before the start of the evaluation process of the attached background information.



12.- SUFFICIENCY OF BACKGROUND PRESENTED.

12.1.-Confidentiality

It may not be requested or disclosed to Interested Parties or any other person who is not officially involved in the evaluation process of this tender, no information related to the admissibility, review, evaluation, comparison and post-prequalification of the background information submitted. The information will only be disclosed once the prequalification referred to in this call for interest has been notified. Nor will any other technical, legal or financial documentation of the interested parties not contained in the accompanying forms be published.

12.2.- Clarification of Background

In order to facilitate the review and evaluation process, SASIPA may, at its discretion, request clarifications or supplements from the Interested Parties regarding their background and accompanying documentation. Clarifications submitted by the Interested Parties will not be considered. that are not required by SASIPA.

12.3.- Examination of the accompanying background information and prequalification

SASIPA will examine the accompanying documents to confirm that all terms and conditions specified in the Tender Documents have been accepted by the interested party without significant deviations or reservations.

If, after examining the submitted documents, the Contractor determines that the documents submitted by any of the interested parties do not substantially conform to the Tender Documents, or do not meet the requirements set forth therein, the Contractor will not consider the expression of interest in the following stage.

13.- REPORT OF PREQUALIFIED INTERESTED PARTIES

Before the expiration of the deadline established in the Schedule, SASIPA will inform by email those who have been prequalified to proceed with this tender. Likewise, it will notify those who have not yet been prequalified. other parties interested in the outcome of their application.

14.- GRADING CRITERIA

This section contains all the factors, methods and criteria that the Employer must use to evaluate applications. No other factors, methods or criteria may be used. The information contained in this section is not limited to the factors, methods or criteria that the Employer must use to evaluate applications. must be provided in relation to each factor and the definitions of the corresponding terms are included in the respective Application Forms.

ELIGIBILITY						
FACTOR						
SUBFACTOR	CRITERIA					Documentation required
	REQUIREMENTS	APPLICANT				
		Entity Unique natural o legal	Society in Formation Association in Participation, Consortium or Association			
			All the parts combined	Each part At least	a part	



1.1 Conflict of Interests	There are no conflicts of interest.	Has to fulfill the requirement	The UTPs previously existing must comply the requirement	Everyone must comply with the requirement	N/A	Sheet of Presentation of the Request
1.2 Declaration of ineligibility for parte de SASIPA	Not having been declared inelegible por SASIPA.	Has to fulfill the requirement	The UTPs existing previously must comply the requirement	Everyone must comply with the requirement	N/A	Sheet of Presentation of the Request
1.3 Entity governmental	Compliance with the established in Bases.	Has to fulfill the requirement	Must comply the requirement	Has to fulfill the requirement	N/A	Sheet of Presentation of the Request



HISTORY OF BREACH OF CONTRACTS						
FACTOR						
SUBFACTOR	CRITERIA					Documentation required
	REQUIREMENTS	APPLICANT				
		Single natural or legal entity	Society in Formation Association in Participation, Consortium or Association			
			All the parts combined	Each part At least	a part	
2.1 History of non-compliance with contracts	<p>Must not have incurred failure to comply with any works contract for the provision of services in the last two years (2 years) prior to the month of January 2024, based on in all the information on conflicts or litigation fully resolved.</p> <p>A conflict or dispute fully resolved is the one who has resolved to conformity the with Resolution Mechanism of Controversies provided for in the respective contract, and in which they have been exhausted all instances of appeal at the disposal of the Applicant.</p>	Has to fulfill the requirement	Existing UTPs with anteriority must comply the requirement	All must fulfill the requirement	N/A	Form
2.2 Litigation earnings	Pending litigation does not must represent in total more than twenty per one hundred (20%) of the assets net of the Applicant and should be treated as resolved against the Applicant.	Has to fulfill the requirement	The UTPs existing previously must comply the requirement	All must fulfill the requirement	N/A	Form



FINANCIAL SITUATION						
FACTOR						
SUBFACTOR	REQUIREMENTS	CRITERIA				Documentation required
		APPLICANT				
		Single natural or legal entity	Society in Formation Association in Participation, Consortium or Association			
			All the parts combined	Each part At least	a part	
3.1 History of results financial	<p>Presentation of audited financial statements for the last 2 years to demonstrate the soundness of the current financial situation of the interested party:</p> <p>(a) Current liquidity: (Current assets/ Current liabilities) equal to or greater than 1.</p> <p>(b) Debt ratio: (Total Liabilities / Net worth)</p> <p>between 0.4 and 0.7.</p> <p>(c) Coefficient LENGTH: (Earnings before Taxes / Total Assets) greater than 0.05</p> <p>(d) Acid ratio: (Current assets- Inventory / Current Liabilities)</p>	Has to fulfill the requirement	N/A	Has to fulfill the requirement	N/A	Form



EXPERIENCE						
FACTOR						
SUBFACTOR	CRITERIA					Documentation required
	REQUIREMENTS	APPLICANT				
		Single natural or legal entity	Society in Formation Association in Participation, Consortium or Association			
			All the parts combined	Each part At least	a part	
4.1 General experience building in a minimum of 2 hybrid plants (thermal photovoltaic and/or photovoltaic-BESS) with a power greater than or equal to 1 MWp, and at least one of them must be with a 1 MWH storage system.	Direct experience at the national or brand level international level, in construction contracts as contractor, subcontractor or construction of execution manager.	Has to fulfill the requirement	N/A	N / A	Must complete Form	TEC 4.1
4.2 Experience in operation and maintenance of photovoltaic and preferably hybrid systems, whether on-grid systems or preferably isolated systems	Direct experience at national level or of the brand at international level, in O&M contracts as a contractor, subcontractor or contract administrator	Experience of the firm the component It's hers				Form TEC 4.2
4.3 Billing average annual in the electrical sector.	Average annual turnover minimum in the industry electricity sector of 11.5 million dollars, calculated as a total of payments for received contracts or works in progress or finished within the last 3 years, using the dollar rate change in the observed rate of the Bank Central of Chile in force at closing of each year financial.	Has to fulfill the requirement	The UTPs existing previously must comply the requirement	N/A	Must complete Form	TEC 4.3
4.4 Experience specific in the electrical sector.	Minimum 3 years experience in Services or works related to the Industry Photovoltaic electric, with demonstrable experience in isolated systems.	Has to achieve requirements	The UTPs existing previously must fulfill the requirement	N/A	Must comply the requirement	Form TEC 4.4



15.- PRESENTATION FORMS

Qualification Form: Presentation

Date: _____

BIDDING CALL FOR INTEREST AND
PREQUALIFICATION DESIGN, CONSTRUCTION,
MONITORS AND PLANT MAINTENANCE
PHOTOVOLTAIC + BESS / MATAVERI, RAPA NUI

A: SASIPA

We, the undersigned, request to be qualified for the referenced Tender and declare that:

- (a) We have examined and have no reservations regarding the Tender documents.
- (b) The undersigned, including subcontractors and suppliers of any part of the resulting contract
In this bidding process, we declare that we have the status of eligible bidders, without prejudice to the fact that we will accept whatever the client decides on the matter;
- (c) The undersigned, including subcontractors and suppliers of any part of the contract resulting from this tender, have no conflict of interest, and specifically do not participate
as Applicants in more than one application for this tender process;
- (d) The undersigned, including subcontractors and suppliers of any part of the resulting contract
As of this tender, we have not been previously declared ineligible by SASIPA in any other bidding process;
- (e) We are not a government entity or, if we are, we comply with the requirements of the Bases Tendering;
- (f) We understand that SASIPA is empowered to cancel the bidding process at any time.
time and is not obliged to accept any request they receive or to invite
Applicants to submit bids for the contract subject to tender, without incurring any liability for this.
no obligation towards the offerors.

Signed _____

Name _____

As _____

Duly authorized to sign the application for and on behalf of:

Name of Bidder: _____

Address: _____

The day _____ of the month of _____ of _____



Qualification Form: ELE - 1.1

Information about the Applicant

Date: _____

Page _____ of _____

Legal name of the Interested Party:
In the case of a UTP, legal name of each member:
Country of incorporation of the Interested Party:
Year of incorporation of the Interested Party:
Legal address of the Interested Party in the country of incorporation:
Information about the Interested Party's authorized representative Name: Address: Phone numbers: Email address:
Copies of the originals of the following documents are attached: 1. In the case of a single entity, Articles of Incorporation or Constitution Documents of the legal entity mentioned above. 2. Letter of authorization to represent the legal entity in formation or UTP indicated above. 3. For UTPs, a letter of intent to create an entity of this type, or a constitutive agreement thereof.



Form Rating: CON – 2.1

History of Non-Compliance with Contracts

Legal name of the Applicant: _____ Date: _____

Legal name of UTP member: _____
 Page _____ of _____

Unfulfilled contracts			
-There was no breach of contract during the period stipulated in the Bases.			
-Pending litigation pursuant to the Bases			
-There is no pending litigation in accordance with the Bases			
-Pending litigation in accordance with the Bases			
Year	Result as percentage of total assets	Contract Identification	Total Contract Amount (current value, equivalent in US\$)
_____	_____	Contract Identification: Name of Contractor: Contractor's Address: Subject in dispute:	_____
_____	_____	Contract Identification: Name of Contractor: Contractor's Address: Subject in dispute:	_____
_____	_____	Contract Identification: Name of Contractor: Contractor's Address: Subject in dispute:	_____



Qualification Form: FIN - 3.1

Financial situation

Legal name of the Bidder: _____ Date: _____

Legal name of UTP member: _____

Page _____ of _____ A

completed by the Offeror and, in the case of a UTP or company in formation, by each of the constituent members.

Information financial in equivalent of US\$	Historical information for the previous 2 years (equivalent in thousands of US\$)					
	States Financial Year 2022	States Financial s Year 2023	Average	Coefficient measured		
Balance Sheet Information						
Asset Current (AC)				(a)		
Passive Current (PC)						
Total Liabilities (PT)				(b)		
Heritage Grandson (PN)						
Net Income (AND)				(c)		
Total Assets (AT)						
Inventory (I)				(d)		
Information taken from the Income Statement						
Total Income Operational (THAT)						
Total Cost of Sales (TCV)						
Utilities net (UN)						

Attached are copies of IFRS financial statements (balance sheets, including all related notes). these, and income statements) corresponding to the exercises required above, which comply under the following conditions:

- a) They must reflect the financial situation of the Offeror or of the member of a UTP or company in formation, and not of a parent company or another company belonging to the same group;
- b) Historical financial statements must be audited by a certified accountant;
- c) Historical financial statements must be complete, including all notes to the statements. financial;
- d) Historical financial statements must correspond to accounting periods already completed and audited (partial period financial statements will not be requested or accepted).



Experience in building hybrid systems TEC 4.1

Legal name of the Bidder: _____ Date: _____

Legal name of the company in formation or UTP: _____

Page _____ of _____

Start Month/year	END Month/year	Contract Identification	Function in the contract	Contract amount MUSD	If you were a UTP member, % of participation
_____	_____	Contract name: Brief description of the works carried out by the Bidder: Name of Contractor: Address:	_____		_____ %
_____	_____	Contract name: Brief description of the works carried out by the Bidder: Name of Contractor: Address:	_____		_____ %
_____	_____	Contract name: Brief description of the works carried out by the Bidder: Name of Contractor: Address:	_____		_____ %
_____	_____	Contract name: Brief description of the works carried out by the Bidder: Name of Contractor: Address:	_____		_____ %
_____	_____	Contract name: Brief description of the works carried out by the Bidder: Name of Contractor: Address:	_____		_____ %

* Indicate calendar years with contracts with activities for at least five (5) months per year, starting with the year in which the activities begin.



List of Specific Experience in Operation and Maintenance Activities TEC 4.2

Legal name of the Bidder: _____ Date: _____

Legal name of UTP member: _____

Page _____ of _____

Start Month/year	END Month/year	Contract Identification	Function in the contract	Contract amount MUSD	If you were a UTP member, % of participation
_____	_____	Contract name: Brief description of the works carried out by the Bidder: Name of Contractor: Address:	_____		_____ %
_____	_____	Contract name: Brief description of the works carried out by the Bidder: Name of Contractor: Address:	_____		_____ %
_____	_____	Contract name: Brief description of the works carried out by the Bidder: Name of Contractor: Address:	_____		_____ %
_____	_____	Contract name: Brief description of the works carried out by the Bidder: Name of Contractor: Address:	_____		_____ %
_____	_____	Contract name: Brief description of the works carried out by the Bidder: Name of Contractor: Address:	_____		_____ %

Average Annual Revenue TEC 4.3

Legal name of the Bidder: _____ Date: _____

Legal name of UTP member: _____

Page of _ _

Annual billing data (construction only)		
Year	Amount and Currency	US\$ equivalent
* Average annual turnover		

* Average annual revenue billing calculated as the total certified payments received for work in progress or completed, divided by the number of years specified in Item 14, Qualification Criteria, Subfactor 4.3.



List of Specific Experience in Construction of TEC 4.4 Photovoltaic Projects

Legal name of the Bidder: _____ Date: _____

Legal name of UTP member: _____

Page _____ of _____

Start Month/year	END Month/year	Contract Identification	Function in the contract	Contract amount MUSD	If you were a UTP member, % of participation
_____	_____	Contract name: Brief description of the works carried out by the Bidder: Name of Contractor: Address:	_____		_____ %
_____	_____	Contract name: Brief description of the works carried out by the Bidder: Name of Contractor: Address:	_____		_____ %
_____	_____	Contract name: Brief description of the works carried out by the Bidder: Name of Contractor: Address:	_____		_____ %
_____	_____	Contract name: Brief description of the works carried out by the Bidder: Name of Contractor: Address:	_____		_____ %
_____	_____	Contract name: Brief description of the works carried out by the Bidder: Name of Contractor: Address:	_____		_____ %



16.- GENERAL TECHNICAL DESCRIPTION

SASIPA is Rapa Nui's energy provider. It is supplied by 99% thermal energy from hydrocarbons and 1% from solar renewables.

The historical maximum demand has been 2720 kW, which is usually produced in the summer period from December to March, the energy produced in 2023 was 15,348 MWH. The medium voltage voltage on the island is 6.6 kV, however, all systems must be considered so that in the medium term it can be moved to 13.2 kV. Therefore, medium voltage insulation, transformer taps and measurement compacts must consider this future voltage level without new investments.

The energy produced by SASIPA must be considered as an isolated system, which is the conceptual basis of the project.

The project involves the supply and construction of a hybrid plant consisting of a photovoltaic installation of a maximum of 2,994 MW and a BESS (Battery Energy Storage System) battery storage system of 2 MWH with the capacity to temporarily deliver the total power of the photovoltaic plant to the grid. The objective of this storage system is to quickly compensate for variations in the production of the PV Plant (Photovoltaic Plant) due to the variability of cloudiness, and thus maintain the stability of the service. This storage system must be charged with renewable energy.

This hybrid system must communicate with the existing thermal plant control system (DEIF) and operate jointly and in coordination with it, in order to maintain the frequency and voltage stability of the system.

Additionally, it will have to supply and make modifications to the Medium Voltage installations at the thermal plant, as well as the relocation of the overhead MT switch at the feeder head, in order to ensure the continuity of energy delivery from the hybrid installation to the system, as well as to improve said installations.

Once construction is completed, the contractor will monitor and maintain the photovoltaic plant and BESS storage system for 24 months. SASIPA will maintain the operation of the entire energy system, as well as the maintenance of the thermal plant.

Finally, they must complete the corresponding electrical, mechanical, civil, and other specialty studies.

The photovoltaic installation will be on a fixed tilt module support structure.

For all structural purposes and insulation class, it should be considered a coastal saline zone.

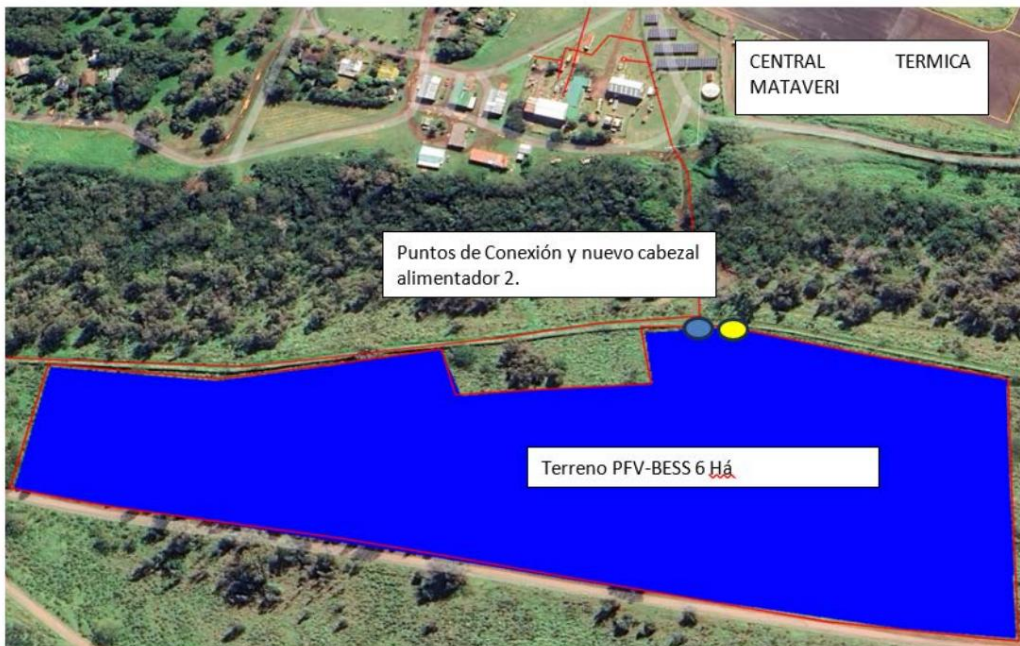
Chilean national regulations must be used and only in cases where they do not cover a topic, international standard norms such as IEEE, ANSI, IEC, ASTM, UNE, NFPA, UL, etc. can be used.

No environmental administrative management or land authorisation is required, as this has already been carried out by SASIPA. However, during the works, all safety, environmental and archaeological requirements required by the project must be met.

The land has a section allocated for archaeological prospecting, which has already been carried out. This will be pre-fenced when the works begin.



The location of the photovoltaic plant and BESS is the land adjacent to the southern area of the Mataverí International Airport, and is as shown below.



Reference coordinates

Latitude: 27° 9'55.98"S

Longitude: 109°25'49.36"E



ANNEX N°1

FILE COMPRESSION AND SENDING INSTRUCTIONS

CALL FOR INTEREST AND PREQUALIFICATION OF BIDDERS, INTERNATIONAL PUBLIC TENDER, DESIGN, CONSTRUCTION, MONITORING AND MAINTENANCE OF PHOTOVOLTAIC PLANT + BESS, MATAVERI, RAPA NUI.

In the process established by the company SASIPA SpA, it was determined that the opening of proposals can be carried out remotely. To proceed with this procedure, detailed instructions are generated for the preparation of the files to be delivered.

This manual will allow us to receive the proposals in time for the creation of the opening of the documents in the first stage of the tender and to be able to comply with the regulations for awarding tenders.

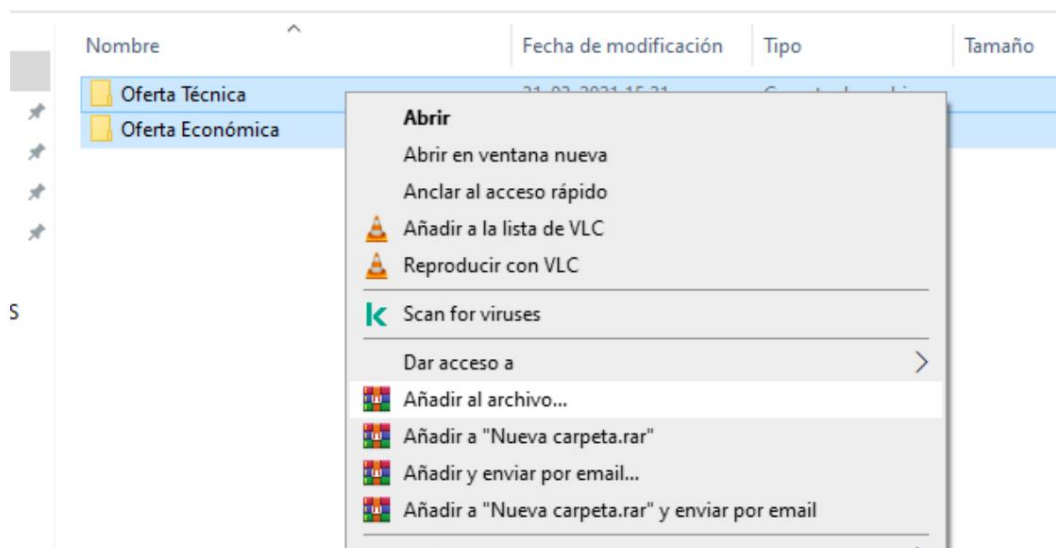
Steps to follow:

1. Compression of folder with files to send.
2. Sending files via wetransfer.com
3. At the time of opening, private access keys are requested.

To compress files:

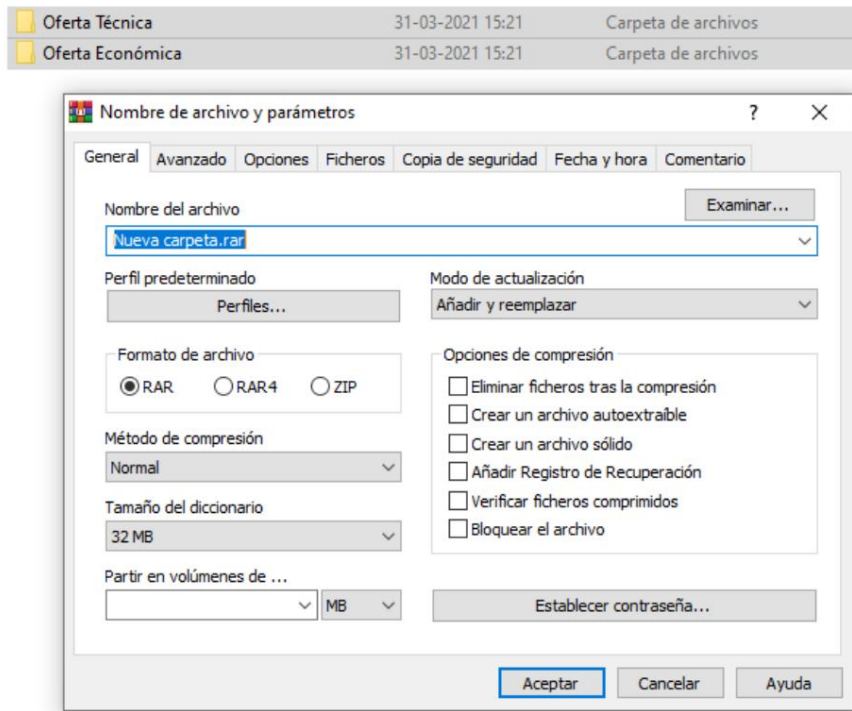
Instalar Winrar <https://www.win-rar.com/fileadmin/winrar-versions/winrar/winrar-x64-600.exe>

1. Select files or directory to compress.
2. Right click "Add to archive"



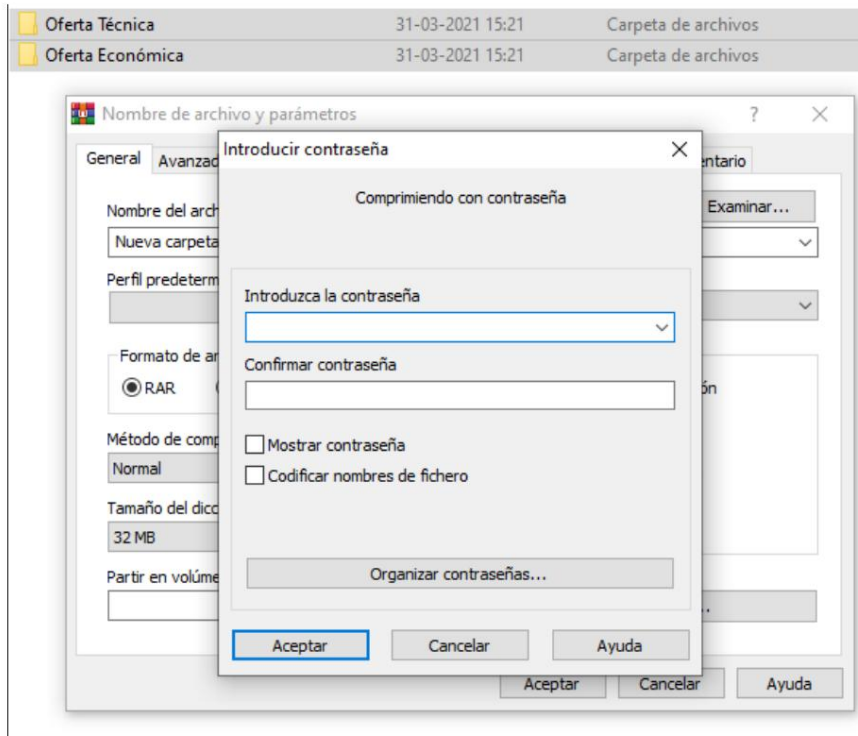


3. Context menu opens.



4. To compress a file you must add a password.

5. Click on set password.






6. Proceed with password creation.

7. Click OK.



8. A compressed rar file with a key has been created.

Nombre	Fecha de modificación	Tipo
 Oferta Económica	31-03-2021 15:21	Carpeta de archivos
 Oferta Técnica	31-03-2021 15:21	Carpeta de archivos
 ADQUISICIÓN DE EQUIPOS COMPUTACIONALES.rar	31-03-2021 15:30	Archivo WinRAR

Uploading files:

1. The file has to be uploaded to the cloud such as: wetransfer.com or any other cloud service.
2. The links to download the files stored in the cloud must be sent before the date stipulated in the calendar for the delivery of the background information, via email to the address established in the Administrative Bases as a contact with SASIPA SpA. indicating in the subject: *Sending documentation CALL FOR INTEREST AND PREQUALIFICATION OF BIDDERS, INTERNATIONAL PUBLIC TENDER, DESIGN, CONSTRUCTION, MONITORING AND MAINTENANCE OF PHOTOVOLTAIC PLANT + BESS, MATAVERI, RAPA NUI.*
3. DO NOT SEND THE KEYS FOR COMPRESSED FILES. The key will be requested at the opening ceremony meeting.



ANNEX N°2

CODE OF CONDUCT, ETHICS AND GOOD PRACTICES

CALL FOR INTEREST AND PREQUALIFICATION OF BIDDERS, INTERNATIONAL PUBLIC TENDER, DESIGN, CONSTRUCTION, MONITORING AND MAINTENANCE OF PHOTOVOLTAIC PLANT + BESS, MATAVERI, RAPA NUI.

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AIM

This Code of Conduct, Ethics and Good Practices establishes the basic principles that guide ethical behavior of each and every member of SASIPA SpA., without exception, Workers, Executives, Managers and Directors (hereinafter "SASIPA").

Supplier, contractor and subcontractor companies that deal with SASIPA are also expected to faithfully comply with the standards contained in this Code of Conduct.

The Code of Conduct, Ethics and Good Practices of SASIPA SpA. is a guide specially prepared to help to the members of the organization in their actions in the face of different situations that may arise. It is also an official document, through which the company's position on a series of issues is declared relevant.

With respect to those matters related to the purpose of this Code of Conduct that are not expressly regulated therein, the current legal provisions shall apply in full. In those cases

In cases where some matters are not expressly dealt with therein, it will be necessary to apply good judgment.

In case of doubts regarding the correct interpretation of the rules of this Code of Conduct, these should be directed to those responsible, the Crime Prevention Officer or EPD and/or the Legal Advisor, who will be responsible for determining the meaning and scope of its provisions.

Likewise, the SASIPA Whistleblower Channel Committee will be the entity responsible for evaluating the need to update or modify the provisions of this Code of Conduct and proposing them to the Board of Directors of SASIPA SpA.

VALIDITY

This Code of Ethical Conduct and Good Practices complements the provisions of the Internal Regulations of Order, Hygiene and Safety, and will apply as of January 24, 2024, once it is made known to all SASIPA SpA Workers.

Any preventive or corrective rule or provision relating to these matters adopted by the Company after this code comes into effect will be communicated through the Company's formal and official means of communication and will be deemed to be automatically incorporated into it, thus having the same legal backing to demand its compliance.

DEFINITIONS AND ABBREVIATIONS

- 1) **Administration of the Legal Entity:** For the purposes of the MPD, it will be the Board of Directors and the General Manager de SASIPA.
- 2) **Reporting Channel:** Technological platform available to SASIPA to receive anonymous reports through from the website (www.sasipa.cl) any information that refers to facts or circumstances that relate to any of the crimes referred to in Law 20,393 or, in general, any situation that may involve unethical or illegal behavior, affecting or relating to SASIPA.



The Reporting Channel has technical and management features that guarantee the anonymity of the person who reports.
file a complaint.

- 3) **Conflict of Interest:** For the purposes of the MPD, a conflict of interest occurs when the interests or
Personal activities may affect the ability to make objective decisions within the framework of
exercise of some function within the company. It will be generated when the affected person must decide between his/her
own interests (including persons related by kinship or any business link)
or otherwise) and those of SASIPA.
- 4) **Crime Prevention Officer (EPD):** One or more subjects responsible for the application of the MPD
appointed by the Board of Directors, with the appropriate independence, endowed with effective powers of
management and supervision and direct access to the Administration of the Legal Entity to inform it
timely manner of the measures and plans implemented in the fulfillment of its mission, to render
account of its management and require the adoption of measures necessary for its mission that could go
beyond its competence. The company must provide the person(s) responsible with the resources and means
materials and immaterials necessary to properly perform their duties, in consideration of the
size and economic capacity of the legal entity.
- 5) **Public Official:** Article 260 of the Penal Code establishes who are Public Officials; "anyone
Anyone who holds a public office or function, whether in the Central Administration or in institutions or
semi-fiscal, municipal, autonomous companies or organizations created by the State or dependent on it
him, even if they are not appointed by the Head of the Republic, nor receive salaries from the State. It will not prevent
This qualification is based on the fact that the position is elected by popular vote.
- 6) **Crime Prevention Model (MPD):** Set of principles, criteria, regulations,
procedures, measures and actions, applied in the company with the aim of preventing the
directors, workers and collaborators of SASIPA are involved in any illegal activity
related to any of the crimes of Law 20,393 that relate to corporate purpose, business,
size, complexity, resources and the activities that SASIPA develops. The minimum elements
that you should consider are:
- Identification of activities or processes in which the risk of contamination is generated or increased.
criminal conduct (Art. 4, No. 1);
 - Establishment of protocols and procedures to prevent and detect unethical behaviors
criminal offences, which should include secure reporting channels and internal sanctions for the case
of non-compliance (Art. 4, No. 2);
 - Measures to communicate to all workers the procedures and sanctions of the
MPD. Internal regulations must be incorporated into the respective contracts



work, provision of services, including top executives (Art. 4 No. 2);

• Designation of one or more responsible subjects -EPD- (Art. 4 No. 3);

• Periodic evaluations by independent third parties and mechanisms for improvement or updating (Art. 4 No. 4).

7) **Suspicious operation:** Any act, operation or transaction that, according to the uses and customs of the activity in question, is unusual or lacks apparent economic or legal justification, whether carried out in isolation or repeatedly. These operations must be reported to the Canal de Complaints.

8) **Sanction:** Consequence or effect for the person who commits an infraction associated with non-compliance of this manual and other obligations arising from the MPD, including Law 20,393 and its amendments.

SASIPA SPA CULTURE: MISSION, VISION AND VALUES

VISION

We want to contribute to improving the quality of life of the inhabitants of Rapa Nui, through high-quality technological solutions, environmentally friendly and sustainable over time, for the provision of services. We aspire to become a modern and participatory company, which favors the cohesion and integration of its work areas and strengthens the development of the people who comprise it.

MISSION

Our mission is to develop an effective, sustainable and modern platform for the delivery of drinking water, electricity, and loading and

unloading services, applying high standards of quality, safety and reliability. We position ourselves in the community through proactive management, which informs and resolves the needs of people in a timely and effective manner, through the action of qualified personnel and modern technology.

CROSS-CUTTING VALUES

- **Respect for the environment:** High appreciation of the cultural and environmental environment in which the company is inserted. company's activities.
- **Comprehensive Development:** It favors the comprehensive development of the Island's economy and the people who live there. inhabit.
- **Common Good:** Ensures the equity of services, as well as their provision and efficient use of resources.
- **Quality in Service:** Incorporates and applies continuous improvement processes, as well as the use of technology advanced in the generation of its processes.
- **People:** Action focused on human capital and the ongoing development of training and skills management processes, in line with technological innovation and management.

BASIC PRINCIPLES OF BEHAVIOR

Legality: All operational, business and professional activities will be carried out in strict compliance



all legal rules and regulations in force in each location and business unit where SASIPA SpA operates. In addition, SASIPA's internal rules and procedures must be complied with, which may be more demanding than the legal provisions.

Integrity: SASIPA promotes honest behavior in each business unit, therefore, it is strictly prohibited to make, offer or consent to any type of bribery of any authority, public and/or private officials, suppliers, and any entity that has a relationship with SASIPA's business. In turn, it is prohibited to receive, give, offer, or consent to third parties related to SASIPA's business any undue benefits or payments of any kind, gifts, bribes or favors that due to their value, characteristics or circumstances, may alter the normal development of commercial, administrative or professional relations between SASIPA and said third parties.

Likewise, honest conduct is permanently promoted in the management of the Company and conflicts of interest that may arise in the development of SASIPA's activities are avoided.

Safety: SASIPA has developed policies, procedures and daily practices that promote safety. This is a joint effort between SASIPA and its workers. In this way, each SASIPA worker has the responsibility to take all necessary measures to prevent risks and occupational diseases.

Quality: Each and every one of SASIPA's workers works to promote excellence, seeking day after day day to develop their functions completely aligned with the company's objectives.

Confidentiality: Confidential information of SASIPA is understood to be that which is not known public, such as business strategies, financial information, information about clients, potential clients business transactions and the personal information of its employees.

Unauthorized disclosure of such information could seriously harm SASIPA and may constitute a criminal offense. Pursuant to the foregoing, it is strictly prohibited to disclose confidential information of SASIPA to anyone outside the company, except when the law or when previously authorized by the General Manager. Likewise, it will be necessary to keep SASIPA's confidential information in safe places, limiting access only to those authorized persons who have the need to know it for the correct performance of their work.

This restriction will also apply to people who stop belonging to SASIPA who must keep This information will be kept strictly confidential and may not be used for personal benefit or that of a third party.

No Discrimination: SASIPA is committed to maintaining a work environment free of discrimination. discrimination, based on the current legal regulations that give every worker the right to be treated as in a dignified and fair manner, with respect from their superiors, peers and dependents, without establishing no type of differences due to social, ethnic, gender, religious preference, sexual orientation or political tendency. Likewise, SASIPA considers the discriminatory conduct of its employees towards people outside the company to be unacceptable. All of the above aspects form a substantial part of the company's work. of SASIPA's contracting policies.

Control environment and efficiency of resource use: SASIPA has developed a series of mechanisms internal control systems that promote organizational efficiency. Each worker must know the different control procedures that are linked to their particular functions, applying all the indications that are required of you.

Protection of company assets: Every SASIPA employee must ensure the proper care and



preservation of company assets. Workers will be responsible for caring for and protecting the assets that have been assigned to them, in addition to those that they use and that are not directly assigned. Under no circumstances may SASIPA's assets and resources be used for personal purposes, unless this is authorized by an internal procedure and provided that it has been fully complied with.

Environment and Community Relations: SASIPA is committed to protecting the environment environment and by promoting good relations with the community where it develops its projects.

Our Company will not tolerate acts that deviate from the standards of ethical legitimacy and whose result, in addition, may cause harm to the State of Chile, clients, workers and other people relevant to the community in general.

The following principles should be considered complementary, where appropriate, to the corresponding guidelines that exist to support the achievement of the company's objectives.

In this regard, it will be **the obligation** of all SASIPA Workers **to comply with the following provisions:**

- a) Work with dedication, perfection, rectitude, integrity and be consistent with the values and policies of SASIPA SpA.
- b) Promote and respect compliance with relevant laws, rules and regulations.
- c) Promote and maintain an environment of transparency in the activities that are their responsibility in accordance with their role and level of responsibility.
- d) Every SASIPA worker will be responsible for knowing the content of this policy and abiding by its guidelines at all times.

CRIME PREVENTION POLICY

6.1 General Policy on Crime Prevention

The General Crime Prevention Policy for SASIPA SpA. is as follows:

"The Board of Directors and the Administration will not accept irregular and/or malicious acts that may violate Law 20,393, affecting SASIPA's interests. Any person who works for SASIPA must act with the utmost care, order, security, control and collaboration to avoid operations, transactions or activities that may harm SASIPA's interests or that imply a legal breach. Any person who has dealings with SASIPA, whether or not they are an employee of the company, must be aware of the law, collaborate by reporting and preventing any act that may be a fraud or crime as defined in said law, through the Complaints Channel established for this purpose."

6.2 Specific Crime Prevention Policies

The Board of Directors of SASIPA requests that all its personnel behave uprightly, strictly and diligently, in compliance with the rules on Crime Prevention defined in Law 20,393 (See Annex No. 1), as well as for the prevention of other types of improper conduct defined in laws, rules and regulations of supervisory bodies, and other rules established by the Company.

Obligations of all SASIPA Workers:

- a) Have exemplary, impeccable and ethical work behavior in the performance of their duties, in such a way as to generate a healthy, safe, stable and sustainable work environment in their environment.



- b) Report any situation that appears to be irregular, that goes against the ethics and interests of the company or that could constitute a misdemeanor or crime, through the Reporting Channel or to the Crime Prevention Officer. Any complaint made by a company employee in compliance with this regulation will be treated confidentially.
- c) When an employee participates in the drafting of a proposal for a public or private tender, he/she must include in the company's legal documents a reference to the existence and implementation of a Crime Prevention Model in SASIPA and, therefore, require participating bidders to make a statement of their acknowledgement and commitment to comply with the law. The same obligation will apply to the negotiation of contracts with third parties, whether or not they come from a tender, and a clause to that effect must be included.
- d) Strictly comply with and act in accordance with the provisions, principles and values of the SASIPA Code of Conduct. In case of doubts regarding the scope or interpretation of one of its rules with respect to its practical application, you must consult with the EPD before carrying out the action that motivates the doubt.
- e) Have respectful, honest and responsible behavior with the people who are part of the communities where SASIPA's operational activities are carried out, as well as with the suppliers and clients with whom they interact in the performance of their duties.
- f) Treat confidentially all reserved or privileged information. For these purposes, a confidentiality clause must be agreed with all third parties with whom contracts or agreements are entered into that involve the transfer of reserved or privileged information that must be protected.
- g) Give proper and exclusive use to the company's assets, goods and resources that are made available to him/her for the execution of his/her duties in the company. The company's assets, resources and goods may not be used for personal or third party financial gain or benefit.
- h) Protect the intellectual or industrial property rights of the company or third parties, in accordance with with the laws and regulations in force on the matter.
- i) When an employee stops providing services to the company, return in good condition all the goods, documentation and information that has been provided to him, and must maintain confidentiality with respect to said information.
- j) Treat suppliers with respect and honesty. Under no circumstances may they take advantage of their position or decision-making power in the process of selecting a supplier, or purchasing goods and services, to obtain any undue advantage or personal benefit or that of a third party, from a supplier, such as special deals, discounts, product samples, gifts or any other form of economic or other benefit.
- k) Immediately inform the EPD of any situation in which a public employee or a person exercising public authority requires, demands, suggests or insinuates the delivery of a gift, payment or economic benefit for himself or herself or a third party, particularly if he or she suggests that in exchange for said gift or economic benefit, some authorization, permit, approval or commercial advantage will be obtained for SASIPA.
- l) Inform his/her hierarchical superior and the EPD, regarding any personal, emotional, social or family situation or relationship that implies a Conflict of Interest, as defined in this Code.
- m) Inform the EPD of any modification or alteration that occurs in its functions, operations or activities, which could entail a greater risk of exposure to fraud or crime, so that the appropriate preventive and corrective measures can be adopted.
- n) Request the EPD to make a statement on the legality of any act or omission that it considers may constitute a crime in the performance of its duties, whether or not it is for the benefit of the enterprise.
- o) Participate in all training, activities, meetings and inductions to which you are summoned.
reason for the implementation of the Crime Prevention Model in the company.
- p) Respond to the requests made by the EPD within the framework of an investigation, and must accompany all documentation, information or background information that is required.
- q) Inform the EPD of any misuse, modification or concealment of financial, reserved or privileged information of which it becomes aware, in order to adopt the necessary appropriate measures and possible sanctions against those found responsible.

SASIPA Workers Prohibitions:



- a) Prepare records, notes or documents with false information, as well as keep records or maintain parallel, unregistered or undisclosed accounts of SASIPA funds, goods or assets.
- b) Access, duplicate, reproduce, use or disseminate reserved or privileged information, whether directly or indirectly. indirectly, for which there is no authorization to do so.
- c) Storing SASIPA information on private computers or other means or computing devices not provided by the company. If it is necessary to take information outside SASIPA facilities, said information must be returned when said tasks or activities are completed, avoiding storing it in private homes or in any other place.
- d) Directly or indirectly use the assets, services, facilities and resources of SASIPA in any partisan political activity or in favor of a candidate for an elected position.
- e) Accept gifts, benefits, trips, commissions or compensation in connection with their work activities or representation of SASIPA from clients, suppliers, public authorities or related third parties, to the extent that such situation may generate a conflict of interest or a crime.
- f) Offer or deliver gifts, economic benefits or compensation to our clients, suppliers, authorities, auditors, inspectors or public employees, both national and foreign, related to the activities of SASIPA, of amounts or entity such that may compromise strict compliance with legal regulations on bribery or corruption between individuals, especially those of Law No. 20,393, ethical standards and those on unfair administration that regulate this matter, thereby preventing the commission of a crime.
- g) Participate, directly or indirectly, in the scope of their work within the company, in conduct that may be classified as any of the crimes indicated by Law No. 20,393.
- h) Becoming a parallel owner or partner of a supplier company, or acquiring the status of relative of an owner or partner of a supplier who currently maintains commercial relations with SASIPA; without having previously reported this situation and having obtained written and reasoned authorization from the Board of Directors of SASIPA.
- i) Doing business with suppliers who may operate outside the law or have a dubious reputation, as well as with those who do not have formal authorizations (for example: patents, health permits, etc.) when required, or who notoriously engage in practices contrary to environmental or intellectual property standards.
- j) Using SASIPA's assets, resources or property, as well as regular working hours, to carry out any parallel economic, work or commercial activity, especially if it is related to a client or supplier.
- k) Introduce into the sea, rivers, lakes, canals, drains, etc., chemical, biological or physical contaminating agents that cause damage to hydrobiological resources, within the scope of their work in the company.

CONFLICT OF INTEREST

It is a priority for SASIPA to properly manage situations in which conflicts of interest may arise. For these purposes, a conflict of interest is understood as any circumstance, fact or personal position that interferes or may interfere with the ability of a director, manager, executive or employee of SASIPA to make decisions that are objectively in the best interest of the company.

Regarding directors, managers, executives and employees of SASIPA, they must declare any fact that may constitute a potential conflict of interest, such as the existence of professional, commercial, blood or affinity ties with any supplier, direct competitor or client of SASIPA, among others. If any commercial or administrative decision is made, the director, manager, executive or employee involved may not participate in it and must abstain from it.

The existence of any other type of conflict of interest of directors, managers, executives and employees



SASIPA, must be reported, as soon as it is known to the person involved, to the respective management and the Crime Prevention Officer.

The declaration of conflict of interest must be made to the Risk, Audit and Compliance Committee, or failing that, to the Crime Prevention Officer, who must determine the manner in which said conflict will be resolved. (See Annex No. 2. This Annex also specifies the relationship that may give rise to a conflict of interest).

Family or emotional relationships within the company may generate potential conflicts of interest, as long as there is a tendency to favor the person who is close to them in some way. In order to prevent complex situations, no SASIPA employee may have a hierarchical relationship, nor directly supervise the work of a relative or close person, influence their remuneration, or their hiring process, unless this situation has been made transparent to Management and/or the Board of Directors.

COMPLAINT CHANNEL

SASIPA has a "reporting channel" whose objective is to detect irregular or unscrupulous conduct. appropriate within any of the company's units.

Every director, manager, executive and employee shall have the obligation to immediately inform SASIPA SpA. of any suspicion or knowledge of irregular facts, acts, transactions or operations that they become aware of in the exercise of their activities, and that allow them to presume or assert that there may be or actually is an attack against the Ethical Standards.

Clients, suppliers, advisors or any other external person who has contact with the services of SASIPA may use this tool to submit its suggestions and complaints.

All complaints will be reviewed and handled with the strictest confidentiality, always safeguarding the anonymity of the whistleblower, in those cases where the latter so prefers, also ensuring that there will be no reprisals against it.

Any person is entitled to make a complaint through the Reporting Channel, regardless of their position, seniority or contractual status with SASIPA SpA.

Any complaint or claim must be made responsibly and with the utmost respect, and must therefore be based on sound evidence and not be motivated by personal motives.

SASIPA SpA. has established the following channels to receive complaints for non-compliance with the company's Ethical Standards:

- By email: denuncia@sasipa.cl.
- By traditional mail. The complainant may make the complaint by means of a confidential letter addressed to the SASIPA SpA Reporting Channel.
- Complaint form: access through the corporate website <http://www.sasipa.cl>, "Complaints and Complaints", "Complaints". The link to access the complaints form on the website is: <http://www.sasipa.cl/denuncias>.



CRIME PREVENTION OFFICER “EPD”

The EPD will be in charge of managing the Company's Crime Prevention Model, in accordance with the responsibilities established in Law 20,393 and the company's internal regulations. He or she will be responsible for designing and monitoring the execution and implementation of preventive plans, conducting investigations of complaints when appropriate, and detecting the occurrence of one or more violations of the company's Ethical Standards.

COMPLAINT CHANNEL COMMITTEE

SASIPA SpA. has established a Complaints Channel Committee, the purpose of which is to receive and manage the complaints received.

The SASIPA SpA. **Whistleblower Channel Committee** will be made up of the following positions:

- Legal advisor and Secretary of the Board;
- Company lawyer;
- Internal Auditor;
- And Crime Prevention Officer

The Complaints Channel Committee must be convened by the EPD when there are new complaints. In addition, it will be the entity responsible for managing the “complaints channel”, that is, it must receive, filter and analyze complaints. the complaints, classifying and separating them as follows:

- Complaints about the occurrence of one or more violations of the company's Ethical Standards and those which are applicable to crimes under Law 20,393. These complaints must be investigated and presented by the Crime Prevention Officer to the Audit, Risk and Compliance Committee, including a proposal for action.
- Complaints of the “claims” or “requests” type from clients regarding issues related to commercial management, must send them to the Commercial Manager of the ATC office, with a copy to the General Manager, for their prompt resolution and response to the customer.
- Complaints in the area of People Management will be sent to the Head of Personnel, with a copy to the Head of Administration and Finance, for prompt resolution.
- For complaints relating to other types of situations, you must analyze and propose the steps to follow. that correspond.

The EPD shall periodically report the status of all complaints received to the Audit, Risk and Compliance Committee.

The corresponding sanctions will be adopted for failure to comply with the company's Ethical Standards. Likewise, the company will adopt the appropriate measures so that SASIPA SpA. exercises all civil and criminal actions and pursues the application of all corresponding sanctions against any person who violates the provisions of the Ethical Standards or who engages in the conduct established in Law No. 20,393, reporting the relevant facts to the Public Prosecutor's Office or

to the relevant authorities, when the complaints involve the commission of illegal acts.



RESEARCH PROCESS

The deadline and actions to be taken for each complaint will vary depending on the severity and complexity of each complaint. situation. In any case, in the event that a person has been accused, his or her innocence will be presumed. while the internal investigation lasts, granting him the right to make his defense during the course of the process.

The information provided will allow for a thorough investigation. In this context, the veracity of the information will result in a better response to the complaint, query or suggestion raised.

Every investigation will be concluded with a written and confidential report. The result of the investigation will generate the actions to be taken regarding the complaint and the corresponding sanctions will be established.

SANCTIONS

Failure to fully and timely comply with the provisions of the Ethical Standards will lead to the application of the relevant sanctions in accordance with the provisions of the Internal Regulations of Order, Hygiene and Safety at Work.

SASIPA reserves the right to take civil and criminal action where appropriate.

The Company will provide all necessary collaboration required by the administrative or judicial authority to pursue and punish the relevant crimes.

SASIPA employees must be aware that they may be subject to internal investigations if there is any indication or complaint is received that relates to non-compliance with any law or internal company regulations.

SASIPA SpA. will avoid establishing commercial or other relationships or entering into contracts or assuming commitments with persons sanctioned for the commission of crimes contemplated in Law No. 20,393 or for conduct similar to that prohibited in the Ethical Standards. In addition, SASIPA SpA. will make the inquiries it deems pertinent regarding the commercial or personal situation of any third party that may be related to such crimes or conduct and will adopt the appropriate measures in this regard.

In the case of consultants, contractors or suppliers, failure to comply with the terms of this Policy may result in immediate termination of the contract that remains in force.



ANNEXES

ANNEX No. 1 DEFINITIONS OF CRIMES LAW 20,393

- 1) **Misappropriation (Art. 470 No. 1 Penal Code):** Those who, to the detriment of another, appropriate or divert money, effects or any other movable property that they have received in deposit, commission or administration, or by another title that produces an obligation to deliver or return it.
- 2) **Exploitation of forbidden resources:** Process, water, transform, transport, market and store restricted hydrobiological resources, and produce, market and store products derived from them these.
- 3) **Illegal fishing of seabed resources (Art. 55B, Fisheries Law):** Carrying out extractive activities in areas for the management and exploitation of benthic resources, without being the owner of the rights.
- 4) **Reception of collapsed or overexploited hydrobiological resources:** Process, prepare or storing hydrobiological resources or products does not credit resources in derivatives thereof, with respect to the which are their legal origin, and which correspond to a state of collapse or overexploitation, according to the annual report of the undersecretariat.
- 5) **Suspension of Work and Access to Unemployment Insurance Benefits (Art. 14 Law 21,227):** Obtain through simulation or deception, supplements and/or benefits and obtain a greater benefit than they would like. corresponds.
- 6) **Failure to comply with isolation or other preventive measures ordered by the health authority, in case of epidemic or pandemic (Art. 318, Penal Code):** Anyone who, knowingly and having authority to dispose of the work of a subordinate, order him to attend the place where he performs his duties when this is other than his/her domicile or residence, and the worker is in quarantine or sanitary isolation mandatory decreed by the health authority.
- 7) **Unfair administration (art. 470 No. 11 Penal Code):** Administrator of an estate that has trusting a person causes economic or image damage, either by abusively exercising powers to dispose of it on its behalf or oblige it, either by performing or omitting any other action, so manifestly contrary to the interest of the owner of the affected assets.
- 8) **Threats of attack against persons and property (arts. 296 et seq. Penal Code):** Threatening seriously harming another by causing him or his family or property harm that constitutes a crime.
- 9) **Misappropriation (art. 470 No. 1 Penal Code):** Those who, to the detriment of another, appropriate or divert money, effects or any other movable property that they have received in deposit, commission or administration, or by another title that produces an obligation to deliver or return it.



- 10) **Attacks against the environment (art. 305 et seq. Penal Code):** Various harmful conducts to the environment, including water extraction, without the corresponding authorizations.
- 11) **Other environmental crimes (art. 37 bis and 37 ter of the second article of law 20.417):** Malicious violations in environmental assessment processes and non-compliance with sanctions from the Superintendency of Environment.
- 12) **Bribery (art. 250 and 251 of the Penal Code):** Making, offering or consenting to an economic benefit or of another nature (also known as bribery or kickback) to a public official, for his benefit or a third party, to carry out an action or incur an omission, in relation to his/her position.
- 13) **Water pollution (art. 136, fishing law):** Introducing into the sea, rivers, lakes or any other body of water, chemical, biological or physical polluting agents that cause damage to resources hydrobiological.
- 14) **Smuggling (art. 168, 169 and 182 Customs Ordinance):** Introducing goods without controls customs and other similar activities.
- 15) **Corruption between individuals (art. 287 bis and 287 ter, Penal Code):** Requesting or accepting, receiving, giving, offer or agree to give, a financial or other benefit, for oneself or a third party, to favor or for having favored the hiring of one bidder over another in the performance of their duties.
- 16) **Crimes against intellectual property (art. 79 et seq. Law 17,336):** Using works belonging to another party protected by law, without proper authorization. This includes computer software and programs.
- 17) **Financing of Terrorism (art. 8 law 18.314):** Provide funds, directly or indirectly, for its use in the commission of terrorist crimes.
- 18) **Fraudulent issuance of checks (art. 22 and 43 of the law on bank current accounts and checks):** Issuing checks without funds and other similar breaches.
- 19) **Non-compliance with pension obligations (art. 13 and 13 bis law 17.322):** appropriation by the employer of pension contributions of the company's workers.
- 20) **Endangering public health by violating the hygiene or health rules of the authority**
health in times of catastrophe, epidemic or contagion (art. 318 Penal Code): Non-compliance with the isolation or other preventive measure ordered by the health authority in the event of an epidemic or pandemic.
- 21) **Money Laundering (art. 27 of Law No. 19,913):** The concealment of the illicit origin of certain goods, or their acquisition, possession or use, with the intention of making them appear legitimate, knowing that arising from the commission of certain crimes.
- 22) **Incompatible negotiation (article 240 of the Criminal Code):** Direct or indirect interest of a person, for on the interest of the company, in any negotiation, action, contract, operation or management, in which should intervene in relation to his/her position, assets or property.
- 23) **Receiving (art. 456 bis A of the Penal Code):** Anyone who, knowing its origin or not being able to do less than know it, have in their possession, under any title, stolen, robbed species or objects of cattle rustling or



appropriation, transport, purchase, sell, transform or market them in any way. It is an aggravating factor when the object of receipt is motor vehicles or things that are part of networks of supply of public or domestic services, such as electricity, gas, water, sewage, collectors rainwater or telephony.

24) **Tax crimes (art. 97 of the Tax Code): Making maliciously false statements,**

incomplete in the accounting and information provided to the Internal Revenue Service, which may induce a reduction in the tax burden and, in general, other irregularities in tax documents, donation simulation.

The duty to behave ethically and in accordance with legal standards necessarily goes beyond any list of possible crimes, so a SASIPA employee or collaborator must always keep in mind the general values and principles that should guide their behavior. If you have doubts about the ethics or legality of a particular case you are aware of, you must inform or consult the EPD, or use the Complaints Channel.



13.2 ANNEX NO. 2 CONFLICT OF INTEREST DECLARATION

CONFLICT OF INTEREST DECLARATION

The purpose of this statement is to inform the company of any potential conflict of interest that it can be presented by a family link (up to the *third degree of consanguinity or affinity**), marriage (spouse) or civil cohabitation with a person who has an employment or business relationship with SASIPA. This statement reflects the transparency and ethical interest of each declarant.

I. PERSONAL DATA:

FULL NAME	
RUT	
CARGO	
AREA	

II. RELATIONSHIP:

Members of my family or other relationship described above, who work at SASIPA or are related in ownership or management with any supplier, consultant, contractor:

NO ☒ YES ☐

NAME	KINSHIP	CARGO	AREA

III. OWNERSHIP RELATIONSHIP:

I am an owner, partner and/or shareholder (with more than 10% of the shares) in companies, corporations and other legal entities, which are suppliers, contractors or direct consultants of SASIPA:

NO ☒ YES ☐

COMPANY NAME	RUT	TOUR	RELATIONSHIP TIME

IV. MANAGEMENT RELATIONSHIP:

I am employed or provide services to companies, corporations and other legal entities that are suppliers, consultants or direct contractors of SASIPA:



NO

☐

YES

☐

COMPANY NAME	RUT	TOUR	RELATIONSHIP TIME

V. **OTHER CAUSES:** Other causes of conflict of interest not included in the previous points.

Briefly explain the situation that is causing the conflict.

WE. **I HAVE NO CONFLICTS OF INTEREST AS OF THE DATE OF THIS STATEMENT**

☐

I declare that I am aware of SASIPA's Conflict of Interest Policy and that all data declared on this form are true.

Declaring company: _____

Date: _____

(*) **Note:** Relationship up to the third degree of consanguinity includes: parents, grandparents, great-grandparents, children, grandchildren, great-grandchildren, siblings, uncles, nephews. Relationship up to the third degree of affinity refers to relatives of the spouse or civil partner, including: parents-in-law, parents and grandparents of parents-in-law, sons-in-law, daughters-in-law, brothers-in-law, nephews and uncles, stepchildren and their children, stepbrothers and sisters and grandchildren.